TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) Docket No. 114104-8							
In Re Application Of: Markus Rothkranz et al.							
Application No.	Filing Date	Examiner	Customer	Group Art Unit	Confirmation No.		
10/660,401	September 10, 2003	Unknown	29159	3713	5854		
Title: GAMING	Title: GAMING DEVICE HAVING PIVOTING SYMBOL INDICATOR						
		Address to: Commissioner for Paten P.O. Box 1450 Alexandria, VA 22313-14					
		37 CFR 1.97(b)					
1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.							
	37 CFR 1.97(c)						
2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:							
☐ the	☐ the statement specified in 37 CFR 1.97(e);						
OR							
☐ the fee set forth in 37 CFR 1.17(p).							
					- 3		

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					11	cket No. 4104-8
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10/660,401	September 10, 2003	Unknown	1	29159	3713	5854
GAMING I	DEVICE HAVING PI	VOTING SYMBOL	INDICATO	R		
	(0.1)	Payme	nt of Fee		050447(**)	
The Director as described as described Charles Charles Charles Certific I certify that this account is being Patent and Trace (Date)	the amount of or is hereby authorized below. Harge the amount of edit any overpayment arge any additional for ate of Transmission be a document and authorizating facsimile transmitted demark Office (Fax. No. Signature Printed Name of Person Sig	t. ee required. y Facsimile* tion to charge deposit to the United States	Certify the August 16, mail under Commissic 22313-145	at this document at 2004 with the error or Patents, to. Signature of Perarrett	ling by First Class Ind fee is being depot U.S. Postal Service 8 and is addres P.O. Box 1450, Ale Son Mailing Correspond	osited on as first class ssed to the exandria, VA
	cate may only be used unt. A Lovia Signature			August 16, 2004		
Adam H. Masia Reg. No. 35,602 Bell, Boyd & Lloyd P.O. Box 1135 Chicago, IL 60690- Phone: 312-807-428	1135					



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant:

Markus Rothkranz et al.

Appl. No.:

10/660,401

Conf. No.:

5854

Filed:

September 10, 2003

Title:

GAMING DEVICE HAVING PIVOTING SYMBOL INDICATOR

Art Unit:

3713

Examiner:

Unknown

Docket No.:

114104-008

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

Document No.	<u>Date</u>	<u>Inventor</u>
3,795,022	August 17, 1976	Figueroa
6,712,694	March 30, 2004	Nordman

FOREIGN PATENT DOCUMENTS

<u>Document No.</u> <u>Date</u> <u>Country</u>

912,685 December 12, 1962 United Kingdom

OTHER DOCUMENTS

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

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Phone: (312) 807-4284

Dated: August 16, 2004



INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

PTO	Form	1449

Atty Docket No.	Application No.	
114104-008	10/660,401	
Applicant		
Marku	s Rothkranz et al.	
Filing Date	Group	
September 10, 2003	Unknown	

		U.S. PATE	ENT DOCUMENTS			
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	3,795,022	August 17, 1976	Figueroa			
	6,712,694	March 30, 2004	Nordman			

,,,	FOREIGN PATENT DOCUMENTS						
Examiner's	Document	Publication				Trans	lation
Initials	Number	Date	Country	Class	Subclass	Yes	No
	912,685	December 12, 1962	United Kingdom				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner:	Date Considered:

^{*}Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.